

AGENDA



- Committee - **GOVERNANCE AND AUDIT**
- Date & Time - Thursday, 26 June 2014 at 6.30 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit:

Councillors: G R Aley (Chairman), F Biggadike, C J T H Brewis, P E Coupland, A Harrison, A M Newton and R M Rudkin (Vice-Chairman)

Revised quorum 3.

Persons attending the meeting are requested to turn mobile telephones to silent mode

Member Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 18 June 2014

AGENDA

**PLEASE NOTE TIMINGS
ARE APPROXIMATE**

TIME

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| 6.30 pm | 1. | Apologies for absence. | |
| 6.31 pm | 2. | To sign as a correct record the minutes of: | |
| | 2.a) | The Governance and Audit Committee meeting held on 26 March 2014 (enclosed). | (Pages 1 - 12) |
| | 2.b) | The Special Governance and Audit Committee meeting held on 14 May 2014 (enclosed). | (Pages 13 - 14) |
| 6.35 pm | 3. | Fraud Report 2013-14 – To raise awareness of fraudulent activity detected during 2013/14. (Report of the Assistant Director Finance (S151) enclosed.) | (Pages 15 - 18) |
| | | <i>Please note that a Fraud Officer will be in attendance at the meeting to give a brief overview of the Council's approach to tackling fraud.</i> | |
| 6.50 pm | 4. | Annual Internal Audit Report 2013-14 – To provide the Committee with the Head of Audit's Annual Internal Audit Report. (Report of the Head of Audit & Risk Management – Audit Lincolnshire and Assistant Director Finance (S151) enclosed.) | (Pages 19 - 36) |
| 7.05 pm | 5. | Internal Audit – To update the Committee on progress with the Audit Plan February 2014 to June 2014. (Report of the Head of Audit & Risk Management – Audit Lincolnshire and Assistant Director Finance (S151) enclosed.) | (Pages 37 - 80) |
| 7.20 pm | 6. | Draft Annual Governance Statement 2013-14 – To seek approval for the Annual Governance Statement prior to submission to audit. (Report of the Assistant Director Finance (S151) enclosed.) | (Pages 81 - 92) |
| 7.35 pm | 7. | Pre-Audit 2013-14 Financial Statements – To present the pre-audit 2013-14 Financial Statements for members' consideration, prior to formal approval by the Assistant Director Finance. (Report of the Assistant Director Finance (S151) enclosed.) | (Pages 93 - 96) |

Please note that Appendix A – Pre-audit Financial Statements 2013-14 will be circulated in due course.

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| 7.50 pm | 8. | Annual Treasury Management Review – To provide pre-decision scrutiny to the Annual Treasury Management Review 2013-14 being proposed. (Report of the Assistant Director Finance (S151) Enclosed.) | (Pages 97 - 108) |
| 8.05 pm | 9. | Local Code of Corporate Governance – To adopt a Local Code of Corporate Governance. (Report of the Assistant Director Finance (S151) enclosed.) | (Pages 109 - 122) |
| 8.20 pm | 10. | Risk Management – To update the Governance and Audit Committee on Risk Management. (Report of the Interim Business Development and Transformation Manager enclosed.) | (Pages 123 - 132) |
| 8.35 pm | 11. | Governance and Audit Work Programme – To set out the Work Programme of the Governance and Audit Committee. (Report of the Assistant Director Democratic Services enclosed.) | (Pages 133 - 140) |
| 8.50 pm | 12. | Any other items which the Chairman decides are urgent. | |

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.