

AGENDA



- Committee - **GOVERNANCE AND AUDIT**
- Date & Time - Thursday, 18 December 2014 at 5.30 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit:

Councillors: G R Aley (Chairman), F Biggadike, C J T H Brewis, P E Coupland, A Harrison, A M Newton and R M Rudkin (Vice-Chairman)

Revised quorum 3.

Persons attending the meeting are requested to turn their mobile telephones to silent.

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 10 December 2014

AGENDA

**PLEASE NOTE TIMINGS
ARE APPROXIMATE**

TIME

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|---------|-----|--|-------------------|
| 5.30 pm | 1. | Apologies for absence. | |
| 5.31 pm | 2. | Minutes - To sign as a correct record the minutes of the meeting held on 25 September 2014 (copy enclosed). | (Pages 1 - 8) |
| 5.35 pm | 3. | Business Continuity Update Report - To provide members of the committee with an update on progress against the Business Continuity Audit of May 2014 (report of the Community Development and Health Manager enclosed). | (Pages 9 - 16) |
| 5.50 pm | 4. | Quarter 2 Risk Report - To update the committee on the state of risk management within the organisation (report of the Executive Director, Commissioning and Governance enclosed). | (Pages 17 - 26) |
| 6.00 pm | 5. | Housing Revenue Account (HRA) Draft 2015-16 estimates - To consider the draft estimates for 2015/16 (report of the Shared Housing Manager enclosed) | (Pages 27 - 44) |
| 7.00 pm | 6. | SHDC Annual Audit Letter 2013/14 - (report of KPMG enclosed) | (Pages 45 - 50) |
| 7.15 pm | 7. | External Audit Progress Report and Technical Update - (report of KPMG enclosed) | (Pages 51 - 72) |
| 7.30 pm | 8. | Internal Audit Update Report - To update the Committee on progress with the Audit Plan, September 2014 to November 2014 (report of the Head of Audit and Risk Management, Audit Lincolnshire, and the Finance Manager enclosed). | (Pages 73 - 112) |
| 7.45 pm | 9. | Mid Term Treasury Report 2014/15 - To provide an update of the treasury management position of the Council as at 30 September 2014 (report of the Finance Manager, Treasury (CPBS) enclosed) | (Pages 113 - 126) |
| 8.00 pm | 10. | Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Strategy 2015/16 - To provide pre-decision scrutiny to the strategy being proposed (report of the Finance Manager Treasury (CPBS) enclosed) | (Pages 127 - 168) |

8.15 pm 11. Governance and Audit Committee Self-Assessment - (Pages 169 - 178)
The Chartered Institute of Public Finance and Accountancy (CIPFA) advocates that it is good practice for Audit Committees to undertake regular assessments, thus enabling members to gain an appreciation of what affords best practice, to confirm the level of compliance being achieved, and to identify any potential areas for enhancements to be made to arrangements (report of the Finance Manager enclosed).

8.20 pm 12. Governance and Audit Committee Work Programme - To (Pages 179 - 184)
set out the Work Programme of the Governance and Audit Committee (report of the Democratic Services and Legal Manager enclosed)

8.25 pm 13. Any other items which the Chairman decides are urgent.

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.