

AGENDA



- Committee - **GOVERNANCE AND AUDIT**
- Date & Time - Thursday, 3 December 2015 at 6.30 pm
- Venue - Meeting Room 1, Council Offices, Priory Road,
Spalding

Membership of the Governance and Audit:

Councillors: G R Aley (Chairman), C J T H Brewis, T A Carter, P C Foyster, A Harrison (Vice-Chairman), C N Johnson and M J Pullen

Revised quorum 3.

Persons attending the meeting are
requested to turn off mobile telephones

Member Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 25 November 2015

AGENDA

**PLEASE NOTE TIMINGS
ARE APPROXIMATE**

TIME

- | | | | |
|---------|-----|--|-------------------|
| 6.30 pm | 1. | Apologies for absence. | |
| 6.31 pm | 2. | Declaration of Interests - (Councillors are reminded that under the Code of Conduct they are not to participate in the whole of an agenda item to which they have a Disclosable Pecuniary Interest. In the interests of transparency, councillors may also wish to declare any other interests that they have, in relation to an agenda item, that supports the Nolan principles detailed within the Code of Conduct). | |
| 6.32 pm | 3. | Minutes - To sign as a correct record the minutes of the meeting held on 24 September 2015 (copy enclosed). | (Pages 1 - 8) |
| 6.35 pm | 4. | HRA Medium Term Financial Plan 2016/17 - 2019/20 - To give consideration to the HRA Medium Term Financial Plan 2016/17 – 2019/20 (report of the Executive Director Commercialisation to follow) | |
| 7.00 pm | 5. | SHDC Annual Audit Letter 2014/15 - (report of KPMG enclosed) | (Pages 9 - 14) |
| 7.15 pm | 6. | External Audit Progress Report and Technical Update - (report of KPMG enclosed) | (Pages 15 - 36) |
| 7.30 pm | 7. | Internal Audit Update Report - To update the Committee on progress with the Audit Plan – September to November 2015 (report of the Audit and Risk Manager (Audit Lincolnshire) and the Executive Director Commercialisation enclosed). | (Pages 37 - 66) |
| 7.45 pm | 8. | Mid Term Treasury Report 2015/16 - To provide an update of the treasury management position of the Council as at 30 September 2015 (report of the Executive Director Commercialisation enclosed) | (Pages 67 - 80) |
| 8.00 pm | 9. | Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Strategy 2016/17 - To provide pre-decision scrutiny to the strategy being proposed (report of the Executive Director Commercialisation enclosed) | (Pages 81 - 118) |
| 8.15 pm | 10. | Governance and Audit Self-Assessment - The Chartered Institute of Public Finance and Accountancy (CIPFA) advocate that it is good practice for Audit Committees to undertake regular assessments. Thus enabling members to gain an appreciation of what affords best | (Pages 119 - 126) |

practice, to confirm the level of compliance being achieved, and to identify any potential areas for enhancements to be made to arrangements (report of the Finance Manager enclosed)

- 8.30 pm 11. Quarterly Risk Report - To inform the Committee of the current status of the Council's strategic risks (report of the Executive Director for Strategy and Governance to follow)
- 8.40 pm 12. Governance and Audit Committee Work Programme - To set out the Work Programme of the Governance and Audit Committee (report of the Executive Manager Governance enclosed) (Pages 127 - 132)
- 8.45 pm 13. Any other items which the Chairman decides are urgent.
- NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.
- 8.46 pm 14. To consider resolving that, under Section 100A (4) of the Local Government Act 1972, the public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A of the Act.
- 8.47 pm 15. Internal Audit Service Provision - To detail the approach proposed for Internal Audit Services at South Holland District Council from 1 April 2016 (report of the Executive Director Commercialisation to follow)