

# AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 23 February 2017 at 6.30 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

**Membership of the Governance and Audit:**

Councillors: G R Aley (Chairman), J R Astill (Vice-Chairman), C J T H Brewis, T A Carter, P C Foyster, A Harrison and M J Pullen

**Revised quorum 3.**

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services  
Council Offices, Priory Road  
Spalding, Lincs PE11 2XE

Date: 15 February 2017

## AGENDA

### **PLEASE NOTE TIMINGS ARE APPROXIMATE**

#### **TIME**

- |         |    |  |                    |
|---------|----|--|--------------------|
| 6.30 pm | 1. | Apologies for absence.   |                    |
| 6.31 pm | 2. | Declaration of Interests -<br>(Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation).   |                    |
| 6.32 pm | 3. | Minutes -<br>To sign as a correct record the minutes of the meeting held on 15 December 2016 (copy enclosed).  | (Pages 1 -<br>12)  |
| 6.35 pm | 4. | External Audit Plan 2016/17 -<br>South Holland District Council (report of KPMG enclosed)  | (Pages 13<br>- 30) |
| 6.45 pm | 5. | Certification of grants and returns 2015/16 -<br>Annual Report (report of KPMG enclosed)   | (Pages 31<br>- 38) |
| 6.55 pm | 6. | Strategic and Annual Internal Audit Plan 2017/18 -<br>To provide an overview of the stages followed prior to the formulation of the Strategic Internal Audit Plan for 2017/18 to 2019/20 and the Annual Audit Plan for 2017/18. The Annual Internal Audit Plan will then serve as the work programme for the Council's Internal Audit Services Contractor, TIAA Ltd. It will also provide the basis for the Annual Audit Opinion on the overall adequacy and effectiveness of South Holland District Council's framework of governance, risk management and control (report of the Internal Audit Consortium Manager enclosed) | (Pages 39<br>- 66) |
| 7.10 pm | 7. | External Quality Assessment of Internal Audit -<br>To present the results of an external review, by the Institute of Internal Auditors, of Eastern Internal Audit Service's conformance with the Standards (report of the Internal Audit Consortium Manager enclosed)  | (Pages 67<br>- 82) |
| 7.20 pm | 8. | Audit Mandatory Inquiries -<br>To confirm the response to the external audit mandatory inquiries (report of the Executive Director Commercialisation enclosed)   | (Pages 83<br>- 88) |

- 7.30 pm 9. Quarterly Risk Report Quarter 3 2016/17 - (Pages 89 - 102)  
To inform the Committee on the current status of the Council's strategic risks (report of the Executive Director Strategy and Governance enclosed)
- 7.40 pm 10. Governance and Audit Committee Work Programme - (Pages 103 - 108)  
To set out the Work Programme of the Governance and Audit Committee (report of the Executive Manager Governance enclosed)
- 7.45 pm 11. Any other items which the Chairman decides are urgent.

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.