

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 15 March 2018 at 6.30 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: G R Aley (Chairman), D Ashby, J R Astill (Vice-Chairman), C J T H Brewis, T A Carter, P C Foyster and M D Seymour

Revised quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 7 March 2018

AGENDA

PLEASE NOTE TIMINGS ARE APPROXIMATE

TIME

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| 6.30 pm | 1. | Apologies for absence. | |
| 6.31 pm | 2. | Declaration of Interests - (Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| | 3. | Minutes - To sign as a correct record the minutes of the following meetings: | |
| 6.32 pm | a) | Governance and Audit Committee - 14 December 2017 (copy enclosed) | (Pages 5 - 14) |
| 6.34 pm | b) | Governance and Audit Committee - 16 January 2018 (copy enclosed) | (Pages 15 - 18) |
| 6.35 pm | 4. | Quarterly Risk Report Q3 2017/18 - To inform the Committee on the current status of the Council's strategic risks (report of the Executive Director Strategy and Governance enclosed) | (Pages 19 - 30) |
| 6.45 pm | 5. | Implementation of agreed Internal Audit Recommendations as at 31 October 2017 – At the meeting of the Governance and Audit Committee on 14 December 2017, members considered a follow up report which provided members with the position on the progress made by management in implementing agreed Internal Audit recommendations.

The Interim Housing Landlord Services Manager and the Environmental Services Manager will provide an update to the issues listed within the attached documents – these issues were detailed in appendices 2 and 3 of the report submitted to the meeting on 14 December 2017. Only information still to be addressed is now included within the tables enclosed. | (Pages 31 - 34) |
| 7.00 pm | 6. | External Audit Plan 2017/18 - South Holland District Council (report of KPMG enclosed). | (Pages 35 - 58) |
| 7.10 pm | 7. | Certification of grants and returns 2016/17 - Annual Report (report of KPMG enclosed) | (Pages 59 - 66) |

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| 7.20 pm | 8. | Progress Report on Internal Audit Activity - To examine the progress made between 1 December 2017 and 28 February 2018 in relation to the completion of the Annual Internal Audit Plan for 2017/18 (report of the Head of Internal Audit enclosed). | (Pages 67 - 94) |
| 7.30 pm | 9. | Strategic and Annual Internal Audit Plans 2018/19 - To provide an overview of the stages followed prior to the formulation of the Strategic Internal Audit Plan for 2018/19 to 2020/21 and the Annual Internal Audit Plan for 2018/19 (report of the Head of Internal Audit enclosed). | (Pages 95 - 114) |
| 7.45 pm | 10. | Audit Mandatory Inquiries - To confirm the response to the External Audit Mandatory Inquiries (report of the Executive Director Commercialisation (S151) enclosed) | (Pages 115 - 118) |
| 7.55 pm | 11. | Governance and Audit Committee Work Programme – To set out the Work Programme of the Governance and Audit Committee (report of the Executive Manager Governance (Deputy Monitoring Officer) enclosed). | (Pages 119 - 124) |
| 8.00 pm | 12. | Any other items which the Chairman decides are urgent. | |

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.