

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 18 October 2018 at 6.30 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: G R Aley (Chairman), D Ashby, J R Astill (Vice-Chairman), C J T H Brewis, P C Foyster, A Harrison and M D Seymour

Revised quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 10 October 2018

AGENDA

**PLEASE NOTE TIMINGS
ARE APPROXIMATE**

TIME

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|---------|----|--|-----------------|
| 6.30 pm | 1. | Apologies for absence. | |
| 6.31 pm | 2. | Declaration of Interests - (Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 6.32 pm | 3. | Minutes - To sign as a correct record the minutes of the meeting held on 26 July 2018 (copy enclosed). | (Pages 5 - 14) |
| 6.35 pm | 4. | Quarter 2 2018-19 Risk Report - To inform the Committee on the current status of the Council's strategic risks (report of the Executive Director Strategy and Governance enclosed). | (Pages 15 - 26) |
| 6.45 pm | 5. | Risk Management Policy - To seek formal adoption of the revised Risk Management policy into working practice (report of the Executive Director Strategy and Governance enclosed). | (Pages 27 - 50) |
| 6.55 pm | 6. | SHDC Annual Audit Letter 2017-18 - (report of KPMG enclosed). | (Pages 51 - 60) |
| 7.05 pm | 7. | Progress report on Internal Audit activity - To examine the progress made between 1 April 2018 and 1 October 2018 in relation to the completion of the Annual Internal Audit Plan for 2018/19 (report of the Head of Internal Audit enclosed). | (Pages 61 - 74) |
| 7.15 pm | 8. | Follow up report on Internal Audit recommendations - To provide members with the position on progress made by management in implementing agreed Internal Audit recommendations as at 30 September 2018 (report of the Head of Internal Audit enclosed). | (Pages 75 - 86) |
| 7.25 pm | 9. | Governance and Audit Committee Self Assessment - The Chartered Institute of Public Finance and Accountancy (CIPFA) document on "audit committees – practical guidance for local authorities and police" sets out the guidance in the function and operation of audit committees. It is good practice for audit committees to complete a regular self-assessment exercise, to be satisfied that the Committee is performing effectively (report of the Head of Internal Audit is enclosed). | (Pages 87 - 98) |

- 7.35 pm 10. Mid Term Treasury Report 2018/19 - To provide an update on the Treasury Management position of the Council as at 30 September 2018 (report of the Executive Director Commercialisation (Section 151 Officer) enclosed). (Pages 99 - 114)
- 7.45 pm 11. Governance and Audit Committee Work Programme - To set out the Work Programme of the Governance and Audit Committee (report of the Executive Manager Governance (Deputy Monitoring Officer) – Mark Stinson enclosed). (Pages 115 - 120)
- 7.50 pm 12. Any other items which the Chairman decides are urgent.

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.