

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 14 March 2019 at 6.30 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: G R Aley (Chairman), D Ashby, J R Astill (Vice-Chairman), C J T H Brewis, P C Foyster, A Harrison and M D Seymour

Quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 6 March 2019

AGENDA

**PLEASE NOTE TIMINGS
ARE APPROXIMATE**

TIME

- | | | | |
|---------|----|---|-------------------|
| 6.30 pm | 1. | Apologies for absence. | |
| 6.31 pm | 2. | Declaration of Interests - (Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 6.32 pm | 3. | Minutes - To sign as a correct record the minutes of the meeting held on 17 January 2019 (copy enclosed). | (Pages 5 - 14) |
| 6.35 pm | 4. | Quarter 3 2018-19 Risk Report - To provide an update to the Committee on the progress of the Council's identified strategic risks (report of the Executive Director Strategy and Governance enclosed). | (Pages 15 - 30) |
| 6.45 pm | 5. | External Audit Plan - To consider the Audit Plan of the External Auditor, Ernst and Young (copy enclosed). | (Pages 31 - 70) |
| 6.55 pm | 6. | Progress Report on Internal Audit Activity - To examine the progress made between 7 January 2019 and 4 March 2019 in relation to the completion of the Annual Internal Audit Plan for 2018/19 (report of the Head of Internal Audit enclosed). | (Pages 71 - 92) |
| 7.05 pm | 7. | Follow up report on Internal Audit recommendations - To provide members with the position on progress made by management in implementing agreed Internal Audit recommendations as at 28 February 2019 (report of the Head of Internal Audit enclosed). | (Pages 93 - 104) |
| 7.20 pm | 8. | Strategic and Annual Internal Audit Plan 2019/20 - To provide an overview of the stages followed prior to the formulation of the Strategic Internal Audit Plan for 2019/20 to 2021/22, and the Annual Internal Audit Plan for 2019/20 (report of the Head of Internal Audit enclosed). | (Pages 105 - 132) |
| 7.30 pm | 9. | Financial Statements 2018/19 - Accounting Policies - To review and agree the Accounting Policies for inclusion in the Financial Statements 2018/19 (report of the Executive Director Commercialisation (S151) enclosed). | (Pages 133 - 152) |

- 7.40 pm 10. Governance and Audit Committee Work Programme - To (Pages
set out the Work Programme of the Governance and 153 - 160)
Audit Committee (report of the Executive Manager
Governance (Deputy Monitoring Officer) enclosed).
- 7.45 pm 11. Any other items which the Chairman decides are urgent.

NOTE: No other business is permitted unless by
reason of special circumstances, which
shall be specified in the minutes, the
Chairman is of the opinion that the item(s)
should be considered as a matter of
urgency.