

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 6 June 2019 at 6.30 pm
- Venue - Council Chamber, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: C J T H Brewis, A M Newton, P A Redgate, J L Reynolds (Vice-Chairman), M D Seymour, E J Sneath (Chairman) and S C Walsh

Quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 29 May 2019

AGENDA

PLEASE NOTE TIMINGS ARE APPROXIMATE

TIME

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| 6.30 pm | 1. | Apologies for absence. | |
| 6.31 pm | 2. | Declaration of Interests - (Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 6.32 pm | 3. | Minutes - To sign as a correct record the minutes of the meeting held on 14 March 2019 (copy enclosed). | (Pages 5 - 12) |
| 6.35 pm | 4. | Quarter 4 2018-19 Risk Report - To provide an update to the committee on the progress of the Council's identified strategic risks (report of the Executive Director, Strategy and Governance enclosed). | (Pages 13 - 26) |
| 6.45 pm | 5. | Progress report on Internal Audit Activity - To examine the progress made between 4 March 2019 and 24 May 2019 in relation to the completion of the Annual Internal Audit Plan for 2018/19 (report of the Head of Internal Audit enclosed). | (Pages 27 - 56) |
| 6.55 pm | 6. | Follow up report on Internal Audit Recommendations - To provide members with the position on progress made by management in implementing agreed Internal Audit recommendations as at 31 March 2019 (report of the Head of Internal Audit enclosed). | (Pages 57 - 66) |
| 7.05 pm | 7. | Annual Report and Opinion 2018-19 - To provide the Council with an Annual Report and Opinion for 2018/19, drawing upon the outcomes of Internal Audit work performed over the course of the year, and to conclude on the Effectiveness of Internal Audit (report of the Head of Internal Audit enclosed). | (Pages 67 - 82) |

- 7.15 pm 8. Unaudited Financial Statements 2018/19 including Narrative Report and Annual Governance Statement - To present the unaudited Financial Statements 2018/19, including Annual Governance Statement, for members' consideration, prior to formal approval by the Executive Director Commercialisation (report of the Executive Director Commercialisation (Section 151 Officer) enclosed). (Pages 83 - 200)

A verbal update from the External Auditor will be provided by Mark Hodgson (Associate Partner, Ernst and Young).

- 7.30 pm 9. Annual Treasury Management Review 2018/19 - To consider the Annual Treasury Management Review for 2018/19 prior to it being submitted to Council for approval (report of the Executive Director Commercialisation (S151) enclosed). (Pages 201 - 214)

- 7.45 pm 10. Governance and Audit Committee Work Programme - To set out the Work Programme of the Governance and Audit Committee (report of the Executive Manager Governance (Deputy Monitoring Officer) enclosed). (Pages 215 - 220)

- 7.50 pm 11. Any other items which the Chairman decides are urgent.

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.