

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 14 November 2019 at 4.00 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: C J T H Brewis, A M Newton, P A Redgate, J L Reynolds (Vice-Chairman), M D Seymour, E J Sneath (Chairman) and S C Walsh

Quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 6 November 2019

Please ask for Democratic Services: Telephone 01775 764626
e-mail: demservices@sholland.gov.uk

AGENDA

TIME

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| 4.00 pm | 1. | Apologies for absence. | |
| 4.01 pm | 2. | Declaration of Interests - (Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 4.02 pm | 3. | Minutes - To sign as a correct record the minutes of the meeting held on 25 July 2019 (copy enclosed). | (Pages 5 - 8) |
| 4.05 pm | 4. | Statement of accounts and audit report - Update report by Ernst Young | (To Follow) |
| 4.15 pm | 5. | Progress Report on Internal Audit Activity - To examine the progress made between 1 April 2019 and 4 November 2019 in relation to the completion of the Annual Internal Audit Plan for 2019/20 (report of the Head of Internal Audit for SHDC enclosed). | (Pages 9 - 40) |
| 4.30 pm | 6. | Follow Up Report on Internal Audit Recommendations - To provide members with the position on the progress made by management in implementing agreed Internal Audit recommendations as at 4 November 2019 (report of the Head of Internal Audit for SHDC enclosed). | (Pages 41 - 52) |
| 4.40 pm | 7. | Governance and Audit Committee Self Assessment - The Governance and Audit Committee will assess itself against the enclosed self-assessment of good practice (the Internal Audit Manager will facilitate this process). | (Pages 53 - 56) |
| 4.55 pm | 8. | Mid term Treasury Report 2019/20 - To provide an update of the treasury management position of the Council as at 30 September 2019 (report of the Executive Director Commercialisation (S151 Officer) enclosed). | (Pages 57 - 74) |
| 5.10 pm | 9. | Governance and Audit Committee Work Programme - To set out the Work Programme of the Governance and Audit Committee (report of the Executive Manager Governance enclosed). | (Pages 75 - 80) |
| 5.15 pm | 10. | Quarter 2 2019-20 Risk Report - To provide an update to the Committee on the progress of the Council's identified strategic risks (report of the Executive Director, Strategy and Governance enclosed). | (Pages 81 - 114) |

Please note that Appendix 2 associated with this report is not for publication by virtue of Paragraph 3 (Information relating to the financial or business affairs of any particular person (including the authority holding that information)) in Part 1 of Schedule 12A of the Local Government Act 1972, and is therefore attached to this agenda as item 13.

5.20 pm 11. Any other items which the Chairman decides are urgent.

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.

5.21 pm 12. To consider resolving that, under Section 100A (4) of the Local Government Act 1972, the public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A of the Act.

5.22 pm 13. Quarter 2 2019-20 Risk Report - (Appendix 2 enclosed). (Pages 115 - 116)