

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 16 January 2020 at 4.00 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: C J T H Brewis, A M Newton, P A Redgate, J L Reynolds (Vice-Chairman), M D Seymour, E J Sneath (Chairman) and S C Walsh

Revised quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 8 January 2020

AGENDA

**PLEASE NOTE TIMINGS
ARE APPROXIMATE**

TIME

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| 4.00pm | 1. | Apologies for absence. | |
| 4.01pm | 2. | Declaration of Interests
(Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 4.02pm | 3. | Minutes
To sign as a correct record the minutes of the meeting held on 14 November 2020 (copy enclosed). | (Pages
5 - 14) |
| 4.05pm | 4. | ISA 260 Report 2018/19
To receive the ISA 260 report 2018/19 (Report of EY). | (To
Follow) |
| 4.15pm | 5. | Annual Governance Statement and Audited 2018/19 Financial Statements
To update the Governance and Audit Committee of events since the audited Financial Statements were presented (report of the Executive Director Commercialisation (S151) enclosed). | (To
Follow) |
| 4.30pm | 6. | Grant Claims 2018/19
A letter to be received from KPMG reporting on the grants that they have audited. | (Pages
15 - 24) |
| 4.40pm | 7. | Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Strategy 2020/21
To receive the Treasury Management Policy & Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Strategy 2020/21 (Report of the Portfolio Holder, Finance and/or Executive Director Commercialisation (S151) enclosed). | (Pages
25 - 72) |
| 4.55pm | 8. | Audit Plan Progress Report 2019-20
To receive the report which examines the progress made between 5 November 2019 to 6 January 2020 in relation to the completion of the Annual Internal Audit Plan for 2019/20 (Report of the Head of Internal Audit for SHDC enclosed). | (Pages
73 - 84) |

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| 5.05pm | 9. | Follow up report on Internal Audit recommendations - To provide members with the position on the progress made by management in implementing agreed Internal Audit recommendations as at 6 January 2020 (Report of the Head of Internal Audit for SHDC enclosed). | (Pages 85 - 96) |
| 5.20pm | 10. | Governance and Audit Committee Self Assessment To receive the CIPFA document on "audit committee's practical guidance for local authorities and police" which sets out the guidance on the function and the operation of audit committees (Report of the Head of Internal Audit for SHDC enclosed). | (Pages 97 - 106) |
| 5.35pm | 11. | Governance and Audit Committee Work Programme To set out the Work Programme of the Governance and Audit Committee (report of the Executive Manager Governance enclosed). | (Pages 107 - 110) |
| 5.40pm | 12. | Any other items which the Chairman decides are urgent. | |

NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.