

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 12 March 2020 at 4.00 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: C J T H Brewis, A M Newton, P A Redgate, J L Reynolds (Vice-Chairman), M D Seymour, E J Sneath (Chairman) and S C Walsh

Quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 4 March 2020

Please ask for Democratic Services: Telephone 01775 764626
e-mail: demservices@sholland.gov.uk

AGENDA

PLEASE NOTE TIMINGS ARE APPROXIMATE

TIME

- | | | | |
|---------|----|---|--------------------|
| 4.00 pm | 1. | Apologies for absence. | |
| 4.01 pm | 2. | Declaration of Interests -
(Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 4.02 pm | 3. | Minutes -
To sign as a correct record the minutes of the meeting held on 16 January 2020 (copy enclosed). | (Pages 5 -
14) |
| 4.05 pm | 4. | External Audit - Annual Audit Letter -
To consider the Annual Audit Letter of the External Auditor, Ernst and Young, for the year ended 31 March 2019 (copy enclosed). | (Pages 15
- 38) |
| 4.20 pm | 5. | Progress Report on Internal Audit Activity -
To examine the progress made between 6 January 2020 to 2 March 2020 in relation to the completion of the Annual Internal Audit Plan for 2019/20 (report of the Head of Internal Audit enclosed). | (Pages 39
- 48) |
| 4.35 pm | 6. | Follow Up Report on Internal Audit Recommendations -
To provide members with the position on the progress made by management in implementing agreed Internal Audit recommendations as at 2 March 2020 (report of the Head of Internal Audit enclosed). | (Pages 49
- 60) |
| 4.45 pm | 7. | Strategic and Annual Audit Plan 2020/21 -
To provide an overview of the stages followed prior to the formulation of the Strategic Internal Audit Plan for 2020/21 to 2022/23 and the Annual Internal Audit Plan for 2020/21. The Internal Audit Plan provides the basis for the Annual Audit Opinion on the overall adequacy and effectiveness of South Holland District Council's framework of governance, risk management and control (report of the Head of Internal Audit enclosed). | (Pages 61
- 80) |
| 5.00 pm | 8. | Financial Statements 2019/20 Accounting Policies -
To review and agree the Accounting Policies for inclusion in the Financial Statements 2019/20 (report of the Executive Director Commercialisation (S106) enclosed). | (Pages 81
- 98) |

- 5.15 pm 9. Governance and Audit Committee Work Programme - (Pages 99
To set out the Work Programme of the Governance and - 106)
Audit Committee (report of the Executive Manager,
Governance enclosed).
- 5.20 pm 10. Any other items which the Chairman decides are urgent.
- NOTE: No other business is permitted unless by
reason of special circumstances, which
shall be specified in the minutes, the
Chairman is of the opinion that the item(s)
should be considered as a matter of
urgency.
- 5.25 pm 11. Quarter 3 2019-20 Risk Report - (Pages
To provide an update to the Committee on the progress 107 - 128)
of the Council's identified strategic risks (report of the
Executive Director, Strategy and Governance enclosed).
- Please note that Appendix 2 associated with this
report is not for publication by virtue of Paragraph 3
(Information relating to the financial or business
affairs of any particular person (including the
authority holding that information)) in Part 1 of
Schedule 12A of the Local Government Act 1972,
and is therefore attached to this agenda as item 13.**
- 5.30 pm 12. To consider resolving that, under Section 100A (4) of the
Local Government Act 1972, the public be excluded from
the meeting for the following item of business on the
grounds that it involves the likely disclosure of exempt
information as defined in Paragraph 3 of Part 1 of
Schedule 12A of the Act.
- 5.31 pm 13. Quarter 3 2019-20 Risk Report - (Pages
(Appendix 2 enclosed). 129 - 130)