

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 29 July 2021 at 4.00 pm
- Venue - Marquee Suite, Springfields Events & Conference Centre, Camelgate, Spalding, PE12 6ET

Membership of the Governance and Audit Committee:

Councillors: J R Astill (Vice-Chairman), F Biggadike, C J T H Brewis, T A Carter (Chairman), M Hasan, M D Seymour and S C Walsh

Quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 21 July 2021

If you would like to attend this meeting as a member of the public, please contact Democratic Services by telephone on 01775 764454705 or via email at demservices@sholland.gov.uk

AGENDA

PLEASE NOTE TIMINGS ARE APPROXIMATE

TIME

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|---------|----|--|---------------------|
| 4.00 pm | 1. | Apologies for absence. | |
| 4.01 pm | 2. | Declaration of Interests -
(Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 4.02 pm | 3. | Minutes -
To sign as a correct record the minutes of the meeting held on 11 March 2021 (copy enclosed). | (Pages 5 -
16) |
| 4.05 pm | 4. | Progress Report on Internal Audit Activity -
To examine the progress made between 2 March 2021 and 20 July 2021 in relation to the completion of the revised Annual Internal Audit Plan for 2020/21 (report of the Head of Internal Audit enclosed). | (Pages 17
- 34) |
| 4.15 pm | 5. | Follow Up Report on Internal Audit Recommendations -
To provide members with the position on the progress made by management in implementing agreed Internal Audit recommendations as at 31 March 2021 (report of the Head of Internal Audit enclosed). | (Pages 35
- 46) |
| 4.25 pm | 6. | Annual Report and Opinion 2020/21 -
To provide the Council with an Annual Report and Opinion for 2020/21, drawing upon the outcomes of Internal Audit work performed over the course of the year. The report also concludes on the Effectiveness of Internal Audit (report of the Head of Internal Audit enclosed). | (Pages 47
- 64) |
| 4.35 pm | 7. | Annual Treasury Management Review 2020/21 -
To consider the Annual Treasury Management Review for 2020/21 prior to it being submitted to Council for approval (report of the Treasury and Investment Manager (PSPS) enclosed). | (Pages 65
- 80) |
| 4.45 pm | 8. | Strategic and Annual Internal Audit Plans 2021/22 -
To provide an overview of the stages followed prior to the formulation of the Strategic Internal Audit Plan for 2021/22 to 2024/25 and the Annual Internal Audit Plan for 2021/22. | (Pages 81
- 106) |

The Internal Audit Plan provides the basis for the Annual

Audit Opinion on the overall adequacy and effectiveness of South Holland District Council's framework of governance, risk management and control.

(Report of the Head of Internal Audit enclosed).

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| 4.55 pm | 9. | Q4 Strategic Risk Report -
To provide an update to the Committee on the progress of the Council's identified strategic risks (report of the Executive Manager for Growth enclosed). | (Pages
107 - 128) |
| 5.05 pm | 10. | Q1 Strategic Risk Report -
To provide an update to the Committee on the progress of the Council's identified strategic risks (report of the Executive Manager for Growth enclosed). | (Pages
129 - 150) |
| 5.15 pm | 11. | Counter-Fraud and Corruption Policy -
To introduce the recently reviewed Counter-Fraud and Corruption Policy at South Holland District Council (report of the Head of Internal Audit enclosed). | (Pages
151 - 170) |
| 5.25 pm | 12. | 20/21 Financial Statements -
The Committee will receive a verbal update on the current position. | |
| 5.35 pm | 13. | 2019-20 Annual Audit Letter -
To note the Annual Audit Letter for the year ended 31 March 2020 (report of EY enclosed). | (Pages
171 - 190) |
| 5.45 pm | 14. | 2020/21 External Audit Plan -
To note the Provisional Audit Plan for year end 31 March 2021 (report of EY enclosed). | (Pages
191 - 234) |
| 5.55 pm | 15. | Governance and Audit Committee Work Programme -
To set out the Work Programme of the Governance and Audit Committee (report of the Assistant Director – Governance (Monitoring Officer) enclosed). | (Pages
235 - 240) |
| 5.57 pm | 16. | Any other items which the Chairman decides are urgent.
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NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.