

AGENDA



- Committee - **GOVERNANCE AND AUDIT COMMITTEE**
- Date & Time - Thursday, 16 March 2023 at 4.00 pm
- Venue - Meeting Room 1, Council Offices, Priory Road, Spalding

Membership of the Governance and Audit Committee:

Councillors: F Biggadike, H J W Bingham (Vice-Chairman), C J T H Brewis, T A Carter (Chairman), M Hasan, S C Walsh and S Timewell

Quorum 3.

Persons attending the meeting are requested to turn off mobile telephones

Democratic Services
Council Offices, Priory Road
Spalding, Lincs PE11 2XE

Date: 8 March 2023

AGENDA

PLEASE NOTE TIMINGS ARE APPROXIMATE

TIME

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|--------|----|--|---------------------|
| 4.00pm | 1. | Apologies for absence. | |
| 4.01pm | 2. | Declaration of Interests -
(Where a Councillor has a Disclosable Pecuniary Interest the Councillor must declare the interest to the meeting and leave the room without participating in any discussion or making a statement on the item, except where a Councillor is permitted to remain as a result of a grant of dispensation). | |
| 4.02pm | 3. | Minutes -
To sign as a correct record the minutes of the Governance and Audit Committee meeting held on 19 January 2023 (copy enclosed). | (Pages 5 -
16) |
| 4.05pm | 4. | Actions -
An update on actions which arose at the 19 January 2023 Governance and Audit Committee meeting, including the tracking of previous outstanding actions (enclosed). | (Pages 17
- 22) |
| 4.15pm | 5. | Internal Audit Progress Report -
To provide the Committee with details of audit work carried out from October 2022 to February 2023, advise on progress with the 2022/23 Audit Plan and raise any other matters relevant to the Audit Committee role (report of the Head of Internal Audit enclosed.) | (Pages 23
- 36) |
| 4.25pm | 6. | Draft Internal Audit Plan 2023/24 -
To consider the draft Internal Audit Plan 2023/24 (report of the Head of Internal Audit enclosed.) | (Pages 37
- 50) |
| 4.35pm | 7. | Internal Audit recommendations report -
To provide members with the position on the progress made by management in implementing agreed Internal Audit recommendations as at March 2023 (report of the Head of Internal Audit for South Holland District Council enclosed). | (Pages 51
- 66) |
| 4.45pm | 8. | Risk Report 22-23 -
To provide an update on the Council's current strategic risks (report of the Assistant Director – Governance enclosed). | (Pages 67
- 84) |
| 4.55pm | 9. | Q3 Treasury Management Update 2022-23 -
To provide members with an update on Treasury Management performance and activity to ensure best | (Pages 85
- 102) |

practice is maintained (report of the Deputy Chief Executive (Corporate Development) & S151 enclosed).

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| 5.05pm | 10. Audit Results Report 2021/22 -
To receive the 2021/2022 Audit Results Report (report of EY enclosed). | (Pages
103 - 160) |
| 5.15pm | 11. Approval of Financial Statements 2021/22 -
To seek approval for the Annual Governance Statement for inclusion with the Council's published Financial Statements, and approval of the Audited Financial Statements 2021/22 (report of the Deputy Chief Executive – Corporate Development (S151) enclosed). | (Pages
161 - 298) |
| 5.25pm | 12. Financial Statements 2022/23 - Accounting Policies -
To review and agree the Accounting Policies for inclusion in the Financial Statements 2022/23 (report of the Deputy Chief Executive – Corporate Development (S151) enclosed). | (Pages
299 - 318) |
| 5.35pm | 13. Governance and Audit Committee Annual Report -
Verbal update on the way forward for the Governance and Audit Committee Annual Report. | |
| 5.40pm | 14. Governance and Audit Committee Work Programme -
To set out the Work Programme of the Governance and Audit Committee (report of the Assistant Director – Finance enclosed). | (Pages
319 - 326) |
| 5.45pm | 15. Any other items which the Chairman decides are urgent.
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NOTE: No other business is permitted unless by reason of special circumstances, which shall be specified in the minutes, the Chairman is of the opinion that the item(s) should be considered as a matter of urgency.