

**GOVERNANCE AND AUDIT – CALENDAR OF WORK PROGRAMME ITEMS 2021/22**

Date of Meeting	Agenda item	Author	Purpose and whether mandatory	Frequency
<b>13 January 2022</b>	Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Strategy 2022/23	Section 151 Officer	Mandatory requirement. To review Treasury Management Strategy and approve Prudential Indicators.	Annual
	Appointment of External Auditors	Sam Knowles Assistant Director - Finance		
	Governance and Audit Committee Self Assessment	Internal Audit	For confirmation. Good practice.	Annual
<b>17 March 2022</b>	Audit Plan 21/22	External Audit (Ernst & Young)	External Audit Plan – Mandatory Approval	Annual
	SHDC Annual Audit Letter 2020/21	External Audit (Ernst & Young)	Mandatory/Consultation requirement. To approve audit fees.	Annual
	Audit Results Report 20/21	External Audit (Ernst & Young)	Mandatory report to those charged with Governance	Annual
	Approval of Financial Statements	Ellie Stacey	Mandatory – approval required	Annual
	Financial Statements 2021/22 Accounting Policies	Section 151 Officer	Not mandatory, but good practice.	Annual
	Internal Audit – Audit Plan Progress Report 2021-22	Internal Audit	To update Committee on progress of the plan. Not mandatory, but part of Governance role.	To each meeting

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	Strategic and Annual Internal Audit Plan 2022/23	Internal Audit	Annual Internal Audit Workplan - Mandatory approval	Annual
	Follow up report on Internal Audit recommendations	Internal Audit	Not mandatory, but part of Governance role.	To each meeting
	Governance and Audit Committee Self Assessment Follow Up	Internal Audit	For confirmation. Good practice	Annual
	Counter Fraud and Corruption Policy	Internal Audit	To comply with the Committee's Terms of Reference, as detailed in the Constitution – 'To review and approve all Council policies and strategies that relate to the prevention of fraud and corruption, including polices on counter fraud, whistleblowing, and money laundering'.	Every 3 years – next due March 2024
	Q3 2021-22 Risk Report	Corey Gooch	Part of Governance role – not mandatory	To each meeting
	Housing Benefit Grant Claims 20/21	External Audit (KPMG)	External Audit Certification of Grant Claims – Mandatory Approval	Annual

The following item to be added to the Committee's Work Programme on an ad hoc basis, where there is information to report:

- **March 2024 – Diarise to review the Counter Fraud, Corruption and Bribery Policy, and the Whistleblowing Policy (to be reviewed every three years, last reviewed March 2021 (Whistleblowing Policy) and 29 July 2021 (Counter Fraud, Corruption and Bribery Policy).**