

GOVERNANCE AND AUDIT – CALENDAR OF WORK PROGRAMME ITEMS 2022/23

Date of Meeting	Agenda item	Author	Purpose and whether mandatory	Frequency
17 November 2022	Internal Audit – Audit Plan Progress Report 2022/23	Internal Audit	To update Committee on progress of the plan.- Not mandatory, but part of Governance role.	To each meeting.
	Follow up report on Internal Audit recommendations	Internal Audit	Not mandatory, but part of Governance role.	To each meeting.
	Mid Term Treasury Report 2022/23	Section 151 Officer	To comply with Treasury Management Strategy reporting requirements	Half-yearly
	Q2 2022/23 Risk Report	Corey Gooch	Part of Governance role – not mandatory	To each meeting
	Proposed amendments of the Council’s Contract Procedure Rules and Financial Procedure Rules	John Medler	To introduce a new updated version of the contract procedure rules	Ad hoc
19 January 2023	SHDC Annual Audit Letter 2021/22	External Audit (Ernst & Young)	Mandatory/Consultation requirement. To approve audit fees.	Annual
	Governance and Audit Committee Self Assessment	Internal Audit	For confirmation. Good practice.	Annual
	Treasury Management Strategy Statement, Minimum Revenue Provision Policy Statement and Annual Investment Strategy 2023/24	Section 151 Officer	Mandatory requirement. To review Treasury Managemet Strategy and approve Prudential Indicators.	Annual
	Q3 2022/23 Risk Report	Corey Gooch	Part of Governance role – not mandatory	To each meeting
	Governance and Audit Committee Annual Report – consideration of requirements for content TBC	Section 151 Officer/Democratic Services	Not mandatory Good practice	Annually-TBC

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	Audit Results Report 2021/22	External Audit (Ernst & Young)	Mandatory report to those charged with Governance	Annual
	Approval of Annual Governance Statement, for inclusion with the Council's published financial statements and approval of the audited 2021/22 financial statements.	Section 151 Officer	Mandatory – approval required	Annual
16 March 2023	Audit Plan 2022/23	External Audit (Ernst & Young)	External Audit Plan – Mandatory Approval	Annual
	Internal Audit – Audit Plan Progress Report 2022/23	Internal Audit	To update Committee on progress of the plan. Not mandatory, but part of Governance role.	To each meeting
	Strategic and Annual Internal Audit Plan 2023/24	Internal Audit	Annual Internal Audit Workplan - Mandatory approval	Annual
	Follow up report on Internal Audit recommendations	Internal Audit	Not mandatory, but part of Governance role.	To each meeting
	Financial Statements 2022/23 Accounting Policies	Section 151 Officer	Not mandatory, but good practice.	Annual
	Q3 2022/23 Treasury Report	Section 151 Officer	To comply with Treasury Management Strategy Reporting Requirements	Quarterly
	Housing Benefit Grant Claims 2021/22	External Audit (KPMG)	External Audit Certification of Grant Claims – Mandatory Approval	Annual

The following item to be added to the Committee's Work Programme on an ad hoc basis, where there is information to report:

- **March 2024 – Diarise to review the Counter Fraud, Corruption and Bribery Policy, and the Whistleblowing Policy (to be reviewed every three years, last reviewed March 2021 (Whistleblowing Policy) and 17 June 2021 (Counter Fraud, Corruption and Bribery Policy).**