

South Holland District Council - Operational Risks															Q3 14/15					
Date Added	Date Closed	Risk Title	Description	Risk Consequences	Risk Owner	Inherent Risk Impact	Inherent Risk Likelihood	Inherent Risk Rating	Previous Risk Impact 13/14	Previous Risk Likelihood 13/14	Previous Risk Rating 13/14	Previous Risk Letter 13/14	Existing controls (What is being done to avoid this?)	Mitigating actions (What will be done if this occurs?)	Current Risk Impact	Current Risk Likelihood	Current Risk score	Current Risk Letter	Comments	Direction of travel
Existing High Risks																				
01/07/2013		Loss of service through natural or manmade disaster (flood, fire, epidemic, riot etc), utilities breakdown, major equipment breakdown, building/ major equipment end of useful life	Loss of service through natural or manmade disaster (flood, fire, epidemic, riot etc), utilities breakdown, major equipment breakdown, building/ major equipment end of useful life	Loss of service including cancellation of events and hires Loss of income Reputational damage Damage to irreplaceable heritage items/ building Claims for loss of service (contractors/ leaseholders) Cost of re-opening	Phil Perry	3	2	6	3	2	6	H	<ul style="list-style-type: none"> Maintenance budgets adequate and building management regimes are effective Risk assessment/ facilities management Business continuity planning Hire terms and conditions limit risk Corporate insurance provision??? Capital investment programme/ 	<ul style="list-style-type: none"> Revert to business continuity plan Working with users/ hirers/ partners to manage impact as much as reasonably possible 	3	2	6	H	This risk has been reviewed and no changes identified.	↔
30/04/2012		Capacity and processes to monitor section 106 agreements		<ul style="list-style-type: none"> Lost financial opportunities Legal challenge from developers Challenge from the community 	Thomson, Vicky	2	2	4	3	2	6	H	<ul style="list-style-type: none"> Allocation of resources to be decided Appointment of LSC 		3	2	6	H	This risk has been reviewed and no changes have been identified as a result.	↔
31/08/2010		Burial Space	Lack of space for new burials as a result cemetery becoming full.	No space for burials in Spalding cemetery	Glen Chapman	2	2	4	3	2	6	H	<ul style="list-style-type: none"> Detailed plan of spaces available. Acquisition of additional land that is to be available for use by December 2013. 	<ul style="list-style-type: none"> Use alternative cemeteries 	3	2	6	H	Risk has been reviewed and no changes have been identified. All information in respect to the planning application to utilise the Chiltern Drive Spalding open space as the extension to Spalding Cemetery is with Planning for due consideration. The Planning decision and the implication to Risk of that decision will be reported in Qtr 4.	↔
01/02/2013		Risk of reliance on 3rd Party Suppliers to deliver key elements of ICT systems.	Risk of reliance on 3rd Party Suppliers to deliver key elements of ICT systems.	IT Service unable to deliver to customers expectations and the service cannot control the pace of change.	Gary Stephens	3	2	6	3	2	6	H	<ul style="list-style-type: none"> SLA's are included in third party contracts however the SLA's can often be budget dependant. 	Judgement to be taken on whether one-time payment appropriate.	3	2	6	H	This risk has been reviewed and no changes have been identified	↔
Increased Risks																				

31/10/2011	Failure to comply with equalities legislation and guidelines	Failure to comply with equalities legislation and guidelines	Results in reputational damage through non-compliance and potential legal non-compliance	Greg Pearson	3	3	9	3	1	3	M	<ul style="list-style-type: none"> • New EQIA's • EQIA's required for all committee reports • Mandatory training at inductions 	<ul style="list-style-type: none"> • Undertake a full gap analysis of Equality issues and develop a comprehensive action plan 	3	2	6	H	Risk likelihood increased to '2' as Equalities Policy is out of date and requires review. To be progressed once new post is filled in CIP Team.	↑
Decreased Risks																			
31/08/2010	Loss of materials recycling facility	Loss of material recycling facility.	Reduced levels of recycling; increased disposal at landfill and associated cost if an alternative facility is not immediately available.	Emily Spicer	2	2	4	2	2	4	M	<ul style="list-style-type: none"> • Maintain effective contract relationship. • Knowledge of alternative service providers . • Contract in place. 	<ul style="list-style-type: none"> • Lincolnshire County Council as disposal authority are responsible for route for materials 	2	1	2	L	A mixed dry recycling contract has now been awarded by Lincolnshire County Council to one contractor for all districts in Lincolnshire. This new mixed dry recycling contract will commence 1st April 2015.	↓
31/10/2011	Ineffective internal performance management controls	Ineffective internal performance controls in the absence of external assessments leads to falling performance	Uncontrolled reduction in levels of performance across service areas and potential reputational damage and legal non-compliance	Greg Pearson	3	2	6	2	2	4	M	<ul style="list-style-type: none"> • New Performance Management Framework developed • Performance Plus system procured • Development of Programme Boards 	<ul style="list-style-type: none"> • Enhance control measures by increasing frequency of performance meetings • Increase the amount of items scrutinised by Programme Boards 	2	1	2	L	Risk likelihood reduced from '2' to '1' following introduction of Performance Boards, which have improved performance management controls. Development work underway on revised performance framework for 2015/16 also.	↓
New Risks																			
01/12/2014	Lone Working	Failure to provide suitable controls to mitigate the risk of lone working and the issues associated with that role, from health & safety perspective.	That the authority does not protect its staff while working on the council's behalf.	Adams, Phil	2	2	4					<ul style="list-style-type: none"> • Introduction of a managed 24/7 monitoring systems, which the officers carry an IDenticom card to assist in this aspect 	<ul style="list-style-type: none"> To purchase the systems and carryout suitable training prior to going live. 	2	1	2	L	The Health & Safety steering group, has requested via the Health & Safety officer to review the number of lone working devices being used and operational and invite other services to review these devices for their introduction where a risk has been identified	↓
17/12/2014	Risk that not all new starters have undertaken mandatory training	Risk that not all relevant HR legislation is identified, interpreted or implemented on a timely basis.	Reputation, impact for employees, could lead to financial loss.	Wendy Cundy	2	1	2					<ul style="list-style-type: none"> Corporate Induction being planned 	<ul style="list-style-type: none"> Mitigation actions are only considered when risk rating is 6 or higher. 	2	1	2	L	Induction scheme built into HR work programme	↔